

# **DP-Mix: Mixup-based Data Augmentation for Differentially Private Learning**

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### Motivation

- Mixup boosts model performance but is challenging to use for Differential Private Machine Learning (DPML) due to sensitivity issues.
- Diffusion processes generate high-quality images, but it is not clear that how to use them in DPML to enhance performance.
- We propose techniques: DP-MIX<sub>self</sub> and DP-MIX<sub>diff</sub> to apply Mixup and Diffusion in DPML and show it surpasses the prior SoTA at no extra privacy cost.



### Is Microbatching a Solution?

- Instead of per-example gradient clipping, we could use microbatch DP-SGD<sup>[2]</sup>. Most straightforward method to apply Mixup in DPML.
- We clip the average of microbatch's gradients.
- Drawback of microbatch DP-SGD is increased sensitivity (as pointed out by Ponomareva et al. [3]). This requires more noise for the same privacy budget.
- Experiments show that it fails to improve performance even with moderately large privacy budget (i.e.,  $\mathcal{E}=8$ ).



### **Proposed Methods**

- **DP-MIX**<sub>self</sub> : Apply mixup to augmentations of real samples and then clip the average of those samples' gradients.
- **DP-MIX<sub>diff</sub>** : Pretrain a private model on a public dataset using a Diffusion model. Generate diffusion samples with text prompts like "A photo of a <class name>". Mix these samples with augmented real samples and clip the average of their gradients





- We show empirically the straightforward application of Mixup i.e., using Microbatch DP-SGD, fails to improve performance.
- 2. We propose a technique called **DP-MIXself** to apply Mixup in DP-SGD by using Mixup to self-augmentations of one training sample. This method achieves **SoTA** performance for training from scratch and finetuning pre-trained models.
- 3. We also propose a second technique called **DP-MIXdiff** to further enhance performance by using a text-to-image diffusion model to generate class-specific synthetic examples. We mixup those diffusion samples with real training sample to achieve new **SoTA** performance with no additional privacy cost.



Results



### Take aways and Future works

- We show how to apply mixup for DP training of ML models and demonstrate it surpasses the prior SoTA at no extra privacy cost.
- Other multi-sample data augmentations methods could be applied to DPML. We use public data to pretrain the diffusion model and the private model, but how to use public data in the most efficient way for DPML remains an open research question.

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Paper website

Wenxuan Bao's website

### **Ablation study | Other augmentations**

### • Other single-sample augmentations do **not** provide anywhere near as much of an improvement as **mixup**



### Ablation study | DP-Mix<sub>diff</sub> vs Pretraining with Diffusion Data

### Pre-training on sample diffusion models does **not** improve performance, but mixing up training samples with them does.



### References

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